

Small Oscillations

Physics 200A

Section: Oscillations and Waves

→ Small Oscillations (Few N)

→ chains, etc. $N \sim 10^3$

→ continuous $N \rightarrow \infty$

- Point:
- normal modes
 i.e. - natural frequencies, resonances
 - eigenfunctions
 - energy-momentum propagation.
 - symmetry is a key!

Then:

→ parametric instability

→ ponderomotive force.

Physics 200A

II.) Linear

Oscillators and Continua

→ See L & L
- chapt. 23, 24
FW chapt. 4

Small Oscillations - Linear

Consider degrees of freedom / g.c. q_1, q_2, \dots, q_N s/t

$$U = U(q_1, q_2, \dots, q_N)$$

~~Hamiltonian~~

then, can expand near eqbm. points $q_{j,0}$ s/t

$$U = U_0 + \sum_j (q_j - q_{j,0}) \left. \frac{\partial U}{\partial q_j} \right|_{q_{j,0}}$$

$$+ \frac{1}{2} \sum_{j,k} (q_j - q_{j,0})(q_k - q_{k,0}) \left. \frac{\partial^2 U}{\partial q_j \partial q_k} \right|_{q_{j,0}, q_{k,0}}$$

For minimum $\Rightarrow \begin{cases} \left. \frac{\partial U}{\partial q_j} \right|_{q_{j,0}} = 0 \\ \det \left| \left. \frac{\partial^2 U}{\partial q_j \partial q_k} \right|_{q_{j,0}, q_{k,0}} \right| = > 0 \end{cases}$

$$U = U_0 + \frac{1}{2} \sum_{j,k} (q_j - q_{j,0})(q_k - q_{k,0}) \left. \frac{\partial^2 U}{\partial q_j \partial q_k} \right|_{q_{j,0}, q_{k,0}}$$

$$\equiv U_0 + \frac{1}{2} \sum_{j,k} x_j x_k K_{jk}$$

↳ stiffness matrix

similarly $T = \frac{1}{2} \sum_{j,k} m_{jk} \dot{x}_j \dot{x}_k$ (mass matrix)

$(\det m_{jk} > 0)$

$L = \frac{1}{2} \sum_{j,k} (m_{jk} \dot{x}_j \dot{x}_k - k_{jk} x_j x_k)$ (Lagrangian)

↳ general Lagrangian

$\frac{d}{dt} \left(\sum_k m_{jk} \dot{x}_k \right) + \sum_k k_{jk} x_k = 0$

$\sum_k (m_{jk} \ddot{x}_k + k_{jk} x_k) = 0$

thus $\sum_k \left\{ \ddot{x}_k + \left(\frac{k}{m} \right)_{jk} x_k \right\} = 0$

dimensionless $\rightarrow \omega_{jk}^2$

Eqn. Motion

n.b.:

$k_{jk} = k_{kj}$

$\lambda_{j,k} = \lambda_{k,j}$

$\sum_k (-\omega^2 \lambda_{jk} + \omega_{j,k}^2) A_k = 0$

mass matrix normalized

frequency matrix

eigenfrequencies: $\det |\omega_{jk}^2 - \omega^2 I_{jk}| = 0$

⇒ collective mode frequencies

ratio amplitudes ⇒ eigenvectors.

thus, solution \rightarrow n eigenfrequencies ω_α^2
 \rightarrow n eigenvectors q_j^α

so, can write motion:

$$x_j = \sum_\alpha a_j^\alpha e^{-i\omega_\alpha t}$$

$\left\{ \begin{array}{l} j \rightarrow \text{comp.} \\ \alpha \rightarrow \text{eigenvalue label} \end{array} \right.$
 $A_j^\alpha = C_\alpha q_j^\alpha$

i.e. eigenvector representation \leftrightarrow orthonormal basis

Pf. Consider 2 eigenvalues ω_s^2, ω_r^2

$$\omega_s^2 \sum_k \lambda_{jk} a_k^s = \sum_k \omega_{jk}^2 a_k^s \quad (1)$$

$$\omega_r^2 \sum_j \lambda_{jk} a_j^r = \sum_j \omega_{kj}^2 a_j^r \quad (2)$$

$$\sum_j \{ (1) \times a_j^r \} - \sum_k \{ (2) \times a_k^s \} \Rightarrow$$

$$\sum_{jk} \left\{ \omega_s^2 \lambda_{jk} a_j^r a_k^s - \omega_r^2 \lambda_{jk} a_j^r a_k^s \right\}$$

$$= \sum_{jk} \omega_{jk}^2 (a_k^s a_j^r - a_j^r a_k^s) = 0$$

$$\Rightarrow (\omega_s^2 - \omega_r^2) \sum_{kj} a_j^r a_k^s = 0$$

$$\omega_s^2 \neq \omega_r^2 \Rightarrow \left\{ \begin{array}{l} \sum_{j,k} \lambda_{jk} a_j^r a_k^s = 0 \\ \text{orthogonality of eigenvectors.} \end{array} \right.$$

$$\text{normalization} \Rightarrow \sum_j \lambda_{jj} a_j^2 = 1$$

so have general orthonormality condition

$$\sum_{j,k} \lambda_{jk} a_k^s a_j^r = \delta_{jk} \quad (*)$$

Can express general oscillation in terms of eigenvectors and time dependent amplitudes

$$x_j = \sum_{\alpha} a_j^{\alpha} \eta_{\alpha}(t)$$

\hookrightarrow describes time ^{evolution} ~~and phase~~ ~~evolution~~ and phase
i.e. amplitude.

orthogonality \Rightarrow

$$L = \sum_{\alpha} (\dot{\eta}_{\alpha}^2 - \omega_{\alpha}^2 \eta_{\alpha}^2) / 2$$

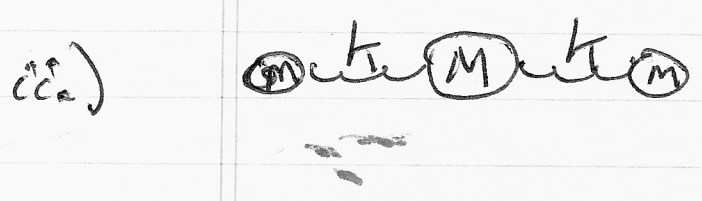
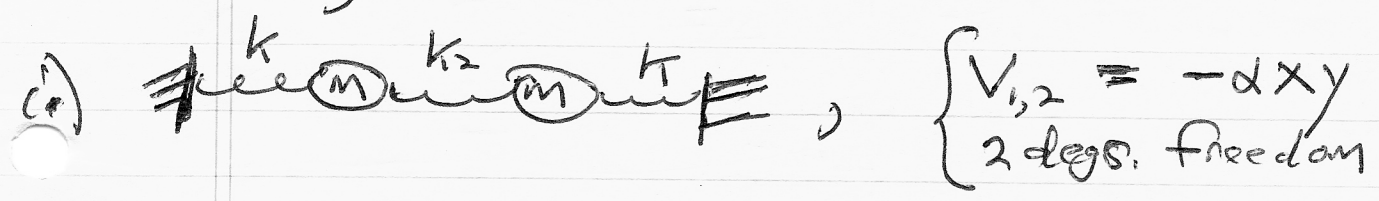
$$\ddot{\eta}_{\alpha} + \omega_{\alpha}^2 \eta_{\alpha} = 0$$

$$\alpha = 1, \dots, n$$

note: if $\det | \omega_{ij}^2 - \lambda_{ij} \omega^2 | = 0$
has double root i.e. $\omega_a^2 = \omega_b^2$

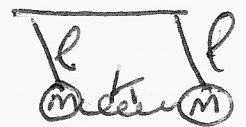
\Rightarrow degeneracy! \Rightarrow must arbitrarily introduce
some condition to
determine 1 orthog.
eigenvector
(choice not unique)

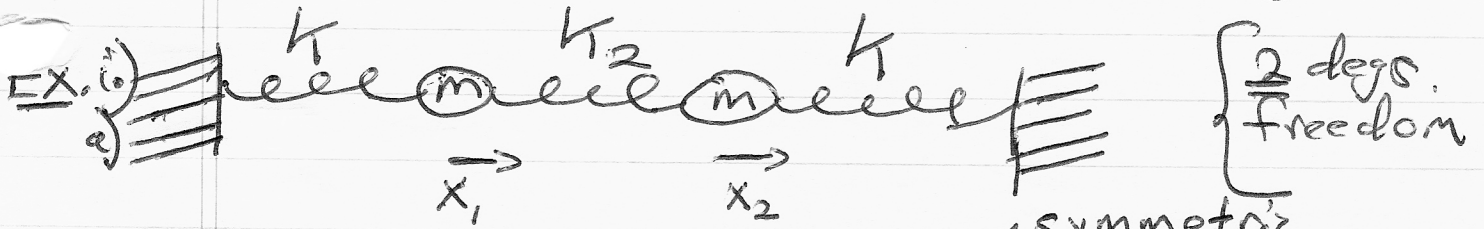
Best to proceed by considering series of
examples;



iii) molecular vibrations

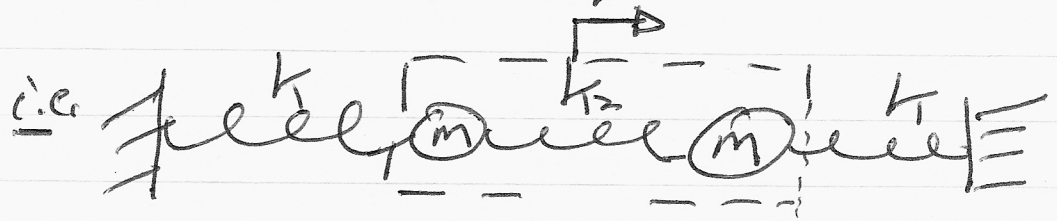
i.e. triatomic molecule $\begin{cases} - \text{linear} \\ - \text{triangular} \\ - \text{asymmetric} \end{cases}$ sketch

identical $\begin{matrix} \uparrow \\ \downarrow \end{matrix}$  6.



Symmetry \Rightarrow 2 normal modes $\begin{cases} \text{symmetric} \\ \text{antisymmetric} \end{cases}$

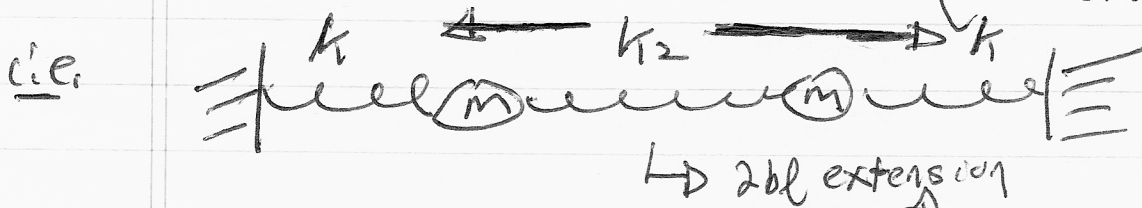
symmetric \Rightarrow k_2 not extended $\begin{pmatrix} \text{lower energy} \\ \Rightarrow \text{lower frequency} \end{pmatrix}$
 $x_1 = x_2$



i.e. $m_{\text{eff}} = 2m$
 $k_{\text{eff}} = 2k$

so $\omega^2 = 2k/2m = k/m$

antisymmetric $\Rightarrow x_1 = -x_2$ $\begin{pmatrix} \text{higher energy} \\ \Rightarrow \text{more spring} \\ \text{compression} \end{pmatrix}$



$$\Rightarrow m \ddot{x}_1 = -kx_1 - k_2(x_1 - x_2)$$


$$= -kx_1 - 2k_2x_1$$

so $\omega^2 = \left(\frac{k}{m} + \frac{2k_2}{m} \right)$

Observe : - $k_2 \rightarrow 0$, 2 oscillators decouple
 so coupling splits ω 's

$k/m \rightarrow$ $\begin{cases} \nearrow k/m + 2k_2/m & \left\{ \begin{array}{l} \text{high freq.} \\ \text{antisymmetric} \end{array} \right. \\ \searrow k/m & \left\{ \begin{array}{l} \text{low freq.} \\ \text{symmetric, no } k_2 \text{ dep.} \end{array} \right. \end{cases}$

- in general, antisymmetric \rightarrow higher ω
 (curvature energy) symmetric \rightarrow lower ω ,

- e.g.  $\omega^2 = 0$ symm. (trans.)
 $\omega^2 = 2k/m$ m antisym. (breather)

Cranking it out :

G.C. ; x_1, x_2

$$L = \left[\frac{1}{2} m \dot{x}_1^2 + \frac{m \dot{x}_2^2}{2} \right] - \left[\frac{1}{2} k x_1^2 + \frac{1}{2} k x_2^2 + \frac{k_2}{2} (x_2 - x_1)^2 \right]$$

\Rightarrow

$$m \ddot{x}_1 = -k x_1 + k_2 (x_2 - x_1)$$

$$m \ddot{x}_2 = -k x_2 - k_2 (x_2 - x_1)$$

$$\ddot{x}_1 + \frac{k_1}{m} x_1 + \frac{k_2}{m} (x_1 - x_2) = 0$$

$$\ddot{x}_2 + \frac{k_1}{m} x_2 - \frac{k_2}{m} (x_1 - x_2) = 0$$

or better $\ddot{x}_1 + \omega_0^2 x_1 - k_2/m x_2 = 0$

$$\ddot{x}_2 + \omega_0^2 x_2 - \frac{k_2}{m} x_1 = 0$$

$$\omega_0^2 = (k_1 + k_2)/m$$

a) could just

- add \Rightarrow

$$\ddot{x}_+ + \omega_0^2 x_+ - k_2/m x_+ = 0$$

$$\ddot{x}_+ + k_1/m x_+ = 0$$

$$\begin{cases} x_+ = x_1 + x_2 \\ \omega_+ = k/m \end{cases}$$

$$\eta_+ = x_+$$

- subtract

$$\begin{cases} x_- = x_1 - x_2 \\ \omega_- = (k + 2k_2)/m \end{cases}$$

$$\ddot{x}_- + \omega_0^2 x_- + \frac{2k_2}{m} x_- = 0$$

$$\eta_- = x_-$$

b) $x_1 = A e^{-i\omega t}$
 $x_2 = B e^{-i\omega t}$

$$(\omega_0^2 - \omega^2) A - k_2/m B = 0$$

$$-k_2/m A + (\omega_0^2 - \omega^2) B = 0$$

$$(\omega_0^2 - \omega^2)^2 - (k_2/m)^2 = 0$$

$$\omega^2 = \omega_0^2 \pm k_2/m \begin{cases} \omega^2 = k_1/m + 2k_2/m \\ \omega^2 = k_1/m \end{cases}$$

A, B \Rightarrow eigen vectors.

$$\omega^2 = \omega_0^2 + k_2/m \quad -k_2/m \quad A \quad - \quad k_2/m \quad B = 0$$

$$\quad \quad \quad -k_2/m \quad A \quad - \quad k_2/m \quad B = 0$$

A = -B so $\begin{pmatrix} 1 \\ -1 \end{pmatrix} / \sqrt{2}$ anti

$$\omega^2 = \omega_0^2 - k_2/m \quad + k_2/m \quad A \quad - \quad k_2/m \quad B = 0$$

$$\quad \quad \quad -k_2/m \quad A \quad + \quad k_2/m \quad B = 0$$

A = B so $\begin{pmatrix} 1 \\ 1 \end{pmatrix} / \sqrt{2}$ symm
 high ω low ω .

1180 $\underline{x} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \frac{C_1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} e^{-i\omega_+ t} + \frac{C_2}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} e^{-i\omega_- t}$

1b) $V = -\alpha xy$ \Rightarrow anti sym $\rightarrow +\alpha + E_0$
int \rightarrow interaction of two oscillators. symm $\rightarrow -\alpha + E_0$

$$L = \frac{1}{2} m (\dot{x}^2 + \dot{y}^2) - \frac{1}{2} k (x^2 + y^2) + \alpha xy$$

$$m\ddot{x} + kx - \alpha y = 0 \quad \ddot{x} + \omega_0^2 x - \alpha/m y = 0$$

$$m\ddot{y} + ky - \alpha x = 0 \quad \ddot{y} + \omega_0^2 y - \alpha/m x = 0$$

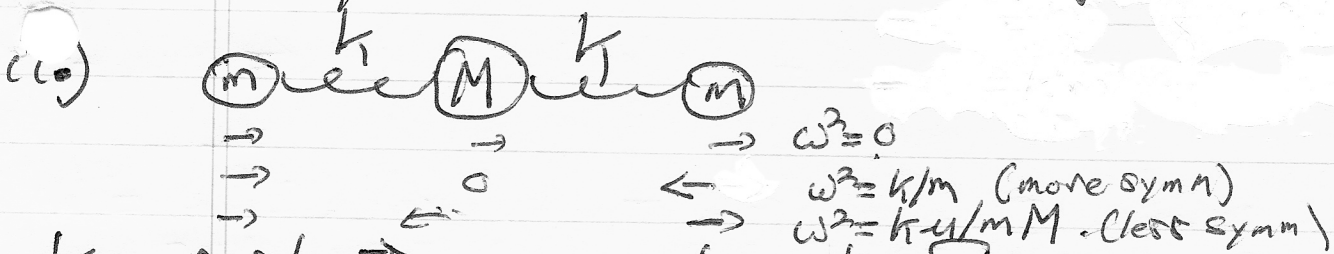
$$\ddot{\eta}_+ + \omega_0^2 \eta_+ - \alpha/m \eta_+ = 0$$

$$\eta_{\pm} = x \pm y$$

$$\ddot{\eta}_- + \omega_0^2 \eta_- + \alpha/m \eta_- = 0$$

$$\left. \begin{aligned} + \omega^2 &= \omega_0^2 - \alpha/m \\ - \omega^2 &= \omega_0^2 + \alpha/m \end{aligned} \right\} \underline{\text{split}}$$

symmetry \Rightarrow zero frequency mode
 (why \Rightarrow displace with no change in energy) 10.



Key point \Rightarrow no external forces, so CM constant \Rightarrow 1 degree symmetry.

$$\Rightarrow m x_1 + M x_2 + m x_3 = \text{const} = 0$$

\Rightarrow reduce $3 \times 3 \Rightarrow 2 \times 2$

$$L = \frac{1}{2} (m \dot{x}_1^2 + M \dot{x}_2^2 + m \dot{x}_3^2) - \frac{1}{2} k (x_2 - x_1)^2 - \frac{1}{2} k (x_3 - x_2)^2$$

but $x_2 = -\frac{m}{M} (x_1 + x_3)$

$$\Rightarrow L = \frac{1}{2} \left[m (\dot{x}_1^2 + \dot{x}_3^2) + M \frac{m^2}{M^2} (\dot{x}_1 + \dot{x}_3)^2 - \frac{1}{2} k \left(-\frac{m}{M} (x_1 + x_3) - x_1 \right)^2 - \frac{1}{2} k \left(x_3 + \frac{m}{M} (x_1 + x_3) \right)^2 \right]$$

etc.

* Guessing the modes \Rightarrow symmetry :

$\omega^2 = 0$; translation mode

$$1/u = \frac{1}{m} + \frac{1}{m} + \frac{1}{M}$$

$\omega^2 = k/m$; symmetric mode $\Rightarrow \eta = x_1 - x_3$

$\omega^2 = k*4/m*M$; anti-symmetric mode $\Rightarrow \eta = x_1 + x_3$

→ Aside: Example from Continuum

Consider:

$$L = \int_{x_1}^{x_2} dx \mathcal{L}$$

$$\mathcal{L} = \frac{(\partial_t F)^2}{2} - \frac{(\partial_x F)^2}{2} - U(F)$$

i.e. $U=0 \rightarrow$ wave equation

$U = F^2/2 \rightarrow$ Klein-Gordon
etc.

$U = \alpha F^2/2 + \frac{\beta}{4} F^4 \rightarrow \phi^4$ model 1D.
(can relate magnetism)

for NL string:

$$LEM \Rightarrow \partial_t^2 F - \partial_x^2 F + \frac{\partial U}{\partial F} = 0$$

For static, eqbm solution:

$$\partial_x^2 F_0 = 0$$

$$\Rightarrow \partial_x^2 f_0 = \frac{\partial U}{\partial f_0} = 0$$

Now, for fluctuations, about:

$$f = f_0 + \tilde{f}$$

$$\tilde{f} = \hat{f} e^{-i\omega t}$$

$$\tilde{f} = \hat{f}(x)$$

\Rightarrow plug into EOM and linearize:

$$-\omega^2 \hat{f} - \partial_x^2 \hat{f} + \frac{\partial U}{\partial f} (f_0 + \hat{f}) = 0$$

$$\Rightarrow -\omega^2 \hat{f} - \partial_x^2 \hat{f} + \frac{\partial^2 U}{\partial f^2} \hat{f} = 0$$

$$\underline{\text{def}} \quad -\partial_x^2 \hat{f} + \left(\frac{\partial^2 U}{\partial f^2} \right) \hat{f} = \omega^2 \hat{f} \quad \text{eigenmode eqn.}$$

$$\text{note } \omega^2 = 0 \Rightarrow -\partial_x^2 \hat{f} + \left(\frac{\partial^2 U}{\partial f^2} \right) \hat{f} = 0$$

but can also observe:

$$-\alpha x^3 F_0 + \frac{\partial U}{\partial F_0} = 0$$



\Rightarrow a solution $f(x)$.

Now can translate that solution arbitrarily, as have translation symmetry in x

i.e. $f_0(x) \rightarrow f_0(x + \delta x_0)$ must be solution
 \downarrow
 infinitesimal centroid shift

$$-\alpha x^3 (f_0(x + \delta x_0)) + \frac{\partial U}{\partial F} (f_0(x + \delta x_0)) = 0$$

expand in δx_0 :

$$\delta x_0 - \alpha x^3 F_0 + \delta x_0 \frac{\partial^2 U}{\partial F^2} = 0$$

i.e. $\frac{d}{dx} \left(-\alpha x^3 F_0 + \frac{\partial U}{\partial F_0} \right) = 0$

so

$$-\partial_x^2 (\partial_x f_0) + \frac{\partial^2 \mathcal{L}}{\partial f^2} \Big|_{f_0} (\partial_x f_0) = 0$$

⇒ but eigenmode eqn is:

$$-\partial_x^2 \tilde{f} + \frac{\partial^2 \mathcal{L}}{\partial f^2} \Big|_{f_0} \tilde{f} = \omega^2 \tilde{f}$$

⇒

$\omega^2 = 0$ is eigenmode with eigenfunction $\partial_x f_0$

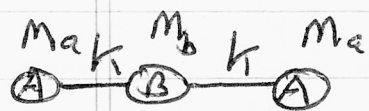
→ translation mode, due to translation symmetry of \mathcal{L} .

→ obviously generalizable.

iii) Triatomic Molecule \rightarrow 2D

$3 \times 2 = 6$ modes.

a) Linear



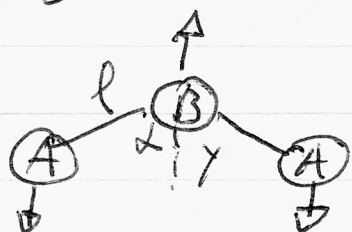
harmonic binding
 1D \rightarrow as previous example.

modes: 1) $\omega^2 = 0$ \rightarrow translation \vec{X}_j
 3 symm. \rightarrow rotation with m_B fixed

c.e. 3 invariant transformations
 \Rightarrow 3 zero frequency modes

(vibration) 2) linear; symmetric $\omega^2 = k/m$; $(x_1 - x_3)$
 antisymmetric $(x_1 + x_3)$; $kM/m_A m_B$

(rotation) 3) bending \rightarrow symmetric in x



(antisymmetric \rightarrow rotation)
 \rightarrow anti-symm on y

Proceeding as before:

$$m_A y_1 + m_B y_2 + m_A y_3 = 0$$

$$T = \frac{1}{2} m_A (\dot{y}_1^2 + \dot{y}_3^2) + \frac{m_B}{2} \dot{y}_2^2$$

\rightarrow can eliminate in terms y_1, y_3

bend α of molecule 12_0

$$U = \frac{1}{2} k (\delta L)^2 ; \quad \delta L = l_1 \cos \alpha_1 + l_2 \cos \alpha_2$$

$$l_1 = l_2$$

small
osc

$$= l \left[\frac{(y_1 - y_2)}{l} + \frac{(y_3 - y_2)}{l} \right]$$

\Rightarrow

$$L = \frac{1}{2} m_A (\dot{y}_1^2 + \dot{y}_3^2) + \frac{1}{2} m_B \dot{y}_2^2 - \frac{1}{2} k [(y_1 - y_2) + (y_3 - y_2)]^2$$

subst for y_2

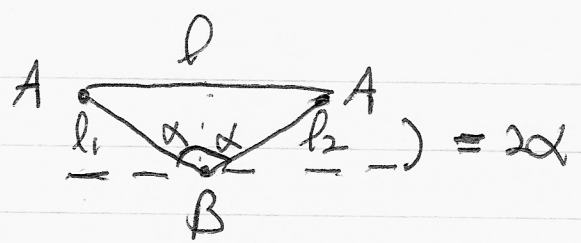
$$= \frac{m_A m_B}{4M} l^2 \dot{\delta}^2 - \frac{1}{2} k l^2 \delta^2$$

$$\delta = (y_1 + y_3 - 2y_2) / l$$

ω^2

$$\omega^2 = 2kM / m_A m_B$$

a) Triangular (2D)



$l_1 = l_2$

Now $\rightarrow 3 \times (2) = 6$ degs. freedom
 $\begin{matrix} x \\ y \end{matrix}$

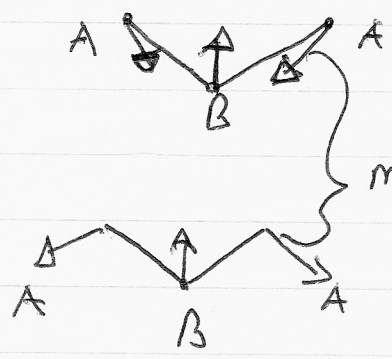
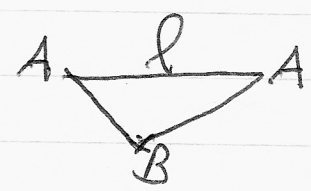
\Rightarrow expect 6 modes

\rightarrow can immediately identify 3 zero frequency modes \rightarrow $\begin{cases} \hat{x} \text{ translation} \\ \hat{y} \text{ translation} \\ (\text{centroid}) \text{ rotation} \end{cases}$

[in general, each symmetry \leftrightarrow 1 zero frequency mode]

\rightarrow can classify remaining modes by symmetry

a) \hat{y} symmetric modes



B \rightarrow up
 A \rightarrow down, in

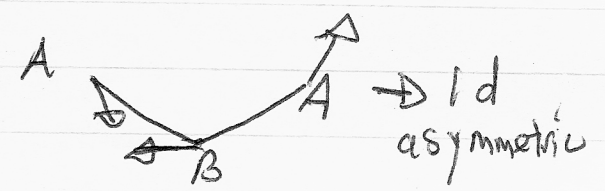
\rightarrow analogous to breather (+ bend)

must be orthogonal

B \rightarrow up
 A \rightarrow down, out

\rightarrow analogous to neither (+ bend)

b) \hat{y} non-symmetric mode



\rightarrow 1d asymmetric

→ To calculate;

$$\underline{x} = (x, y)$$

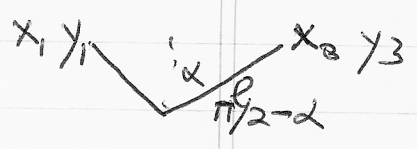
$$L = \frac{1}{2} m_A (\dot{x}_1^2 + \dot{x}_3^2) + \frac{1}{2} m_B \dot{x}_2^2 - \frac{1}{2} k_1 (d l_1^2 + d l_2^2) - \frac{1}{2} k_2 l^2 \delta^2$$

asymmetric modes need not be equal

3 constraints: → CM stationary (2 components) & const.

$$m_A (x_1 + x_3) + m_B x_2 = 0$$
$$m_A (y_1 + y_3) + m_B y_2 = 0$$

→ \underline{L} conserved origin
↓



taking \underline{L} about vertex;

$$\underline{r}_1 = (-l \cos(\pi/2 - \alpha), l \sin(\pi/2 - \alpha))$$

$$\underline{r}_3 = (l \cos(\pi/2 - \alpha), l \sin(\pi/2 - \alpha))$$

$$\underline{L} = \sum_{\alpha} m_{\alpha} \underline{r}_{\alpha} \times \underline{v}_{\alpha} \Rightarrow \delta \underline{L} = 0 \Rightarrow$$

$$\sum_{\alpha} m_{\alpha} \underline{r}_{\alpha} \times d\underline{x}_{\alpha} = 0$$

↓ displacement

$$\Rightarrow f \left[(y_1 - y_3) \sin \alpha - (x_1 + x_3) \cos \alpha \right] = 0$$

so 3rd constr \Rightarrow $(y_1 - y_3) \sin \alpha - (x_1 + x_3) \cos \alpha = 0$

and crank .