

1. Second quantization: the Dirac spectrum for Carbon system.

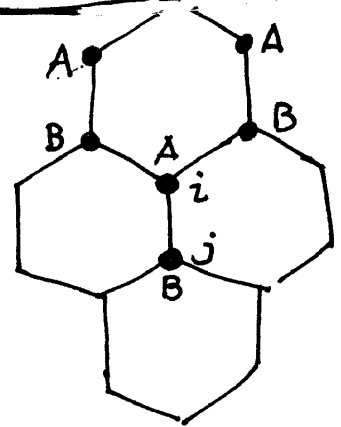
Graphene is a single layer of graphite, with a

honeycomb lattice. Each unit cell contains two

sites: A type and B type. On each site, the

active electron is the one in Carbon's  $2p_z$  orbit. These

electrons hop from one site to another, and form an energy band.



The second quantized Hamiltonian is represented

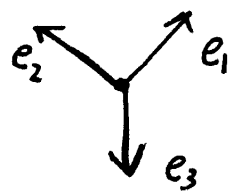
$$H_0 = -t \sum_{i \in A, j=1,2,3} \left\{ C_i^\dagger C_{i+\hat{e}_j a} + C_{i+\hat{e}_j a}^\dagger C_i \right\}, \text{ where "i" is the index of site A,}$$

$$\text{and } e_1 = \frac{\sqrt{3}}{2} e_x + \frac{1}{2} e_y; e_2 = -\frac{\sqrt{3}}{2} e_x + \frac{1}{2} e_y; e_3 = -e_2$$

are three unit vector.  $i + \hat{e}_j a$  is the locations

of three nearest neighbour sites to  $i$ , and they

are B-sites;  $a$  is the nearest neighbour bond length.



$C_i^\dagger C_i$ ;  $C_{i+\hat{e}_j a}^\dagger$ ,  $C_{j+\hat{e}_j a}$  are creation/annihilation operators

for A and B type lattice site, respectively

②

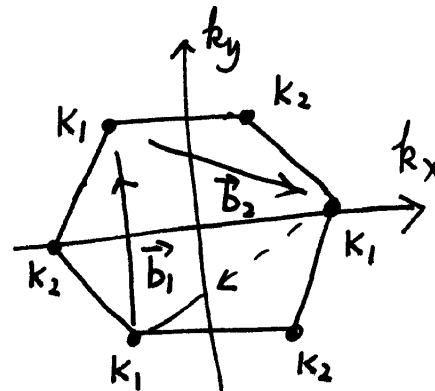
We introduce the Fourier transform for  $C_i, C_j$  ( $i \in A, j \in B$ )

$$C_{A,k} = \frac{1}{\sqrt{N_A}} \sum_{i \in A} e^{i\vec{k} \cdot \vec{R}_i} C_i$$

$$C_{B,k} = \frac{1}{\sqrt{N_B}} \sum_{j \in B} e^{i\vec{k} \cdot \vec{R}_j} C_j$$

where  $k$  is defined in a region called Brillouin Zone in momentum space. The BZ is a regular hexagon with edge length  $\frac{4\pi}{3\sqrt{3}a}$ . The six vertices

are classified into two classes  $K_1$  and  $K_2$ .



The three  $K_1$ 's are equivalent to each other

up to a reciprocal lattice vector  $\vec{b}_1, \vec{b}_2$  or  $(\vec{b}_1 + \vec{b}_2)$ .

The reciprocal lattice vectors have the property that  $\vec{b} \cdot \vec{R}_i = 2n\pi$ .

① In the momentum space, find the expression for the

Hamiltonian  $H_0$ , which should be

$$H_0 = \sum_{k \in BZ} (C_{A,k}^\dagger, C_{B,k}^\dagger) H(k) \begin{pmatrix} C_{A,k} \\ C_{B,k} \end{pmatrix}$$

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where  $H(k)$  is a  $2 \times 2$  matrix. Define  $\tau_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and  $\tau_2 = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$ ,

$\tau_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , prove  $H(k) = a(k)\tau_1 + b(k)\tau_2$  and find the expressions of  $a(k)$  and  $b(k)$ .

② Diagonalize  $H(k)$ , prove that  $H_0$  can be represented in the eigen-basis as

$$H_0 = \sum_{k \in \text{BZ}} \epsilon_k \alpha_k^\dagger \alpha_k - \epsilon_k \beta_k^\dagger \beta_k,$$

where  $\begin{pmatrix} C_{A,k} \\ C_{B,k} \end{pmatrix} = U(k) \begin{pmatrix} \alpha_k \\ \beta_k \end{pmatrix}$ , and  $\epsilon_k = \sqrt{a^2(k) + b^2(k)}$ .

$U(k)$  is unitary matrix diagonalizing  $H(k)$ .  $U^\dagger(k) H(k) U(k) = \begin{pmatrix} \epsilon_k & 0 \\ 0 & -\epsilon_k \end{pmatrix}$

③ Plot the spectrum of  $\pm \epsilon(k)$  in the entire BZ.

④ Prove at  $K_1$  and  $K_2$ ,  $a(K_{1,2}) = b(K_{1,2}) = 0$ ; thus  $H(K_{1,2}) = 0$ .  
Do linear expansion of  $a(k)$  and  $b(k)$  around  $K_1, K_2$ .

Prove around  $K_1$ ,  $H(\vec{k}_{\uparrow}) \approx \frac{3t}{2} q_x \tau_1 - \frac{3t}{2} q_y \tau_2$  where  $q_x = k_x - K_{1,x}$   
 $q_y = k_y - K_{1,y}$

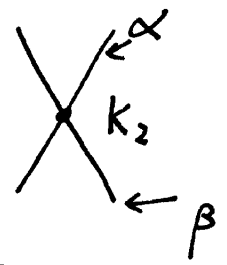
and around  $K_2$   $H(\vec{k}_{\downarrow}) \approx -\frac{3t}{2} q'_x \tau_1 - \frac{3t}{2} q'_y \tau_2$

where  $q'_x = k_x - K_{2,x}$   $q'_y = k_y - K_{2,y}$ .

Thus we have two Dirac cones around  $K_1$  and  $K_2$ .

⑤ Let us look at the Dirac cone at  $K_2$ .

Solve the eigen-vectors for the positive energy solution  $\alpha_k$ . Basically, you need



to find the eigenstate for  $H(\vec{k})$ , where  $\vec{k} = \vec{K}_2 + \vec{q}'$ .

$$H(\vec{K}_2 + \vec{q}') \psi(\vec{K}_2 + \vec{q}') = E(\vec{K}_2 + \vec{q}') \psi(\vec{K}_2 + \vec{q}')$$

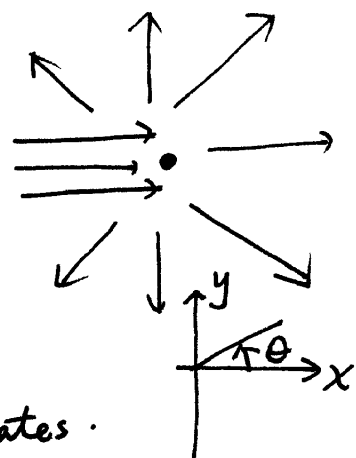
where  $\psi$  is a two-row wave eigenvector with positive energy.

①

## 2. Partial wave for 2D scattering problem

The scattering boundary condition can be written as

$$\psi(r, \theta) \xrightarrow{r \rightarrow \infty} e^{ikx} + f(\theta) \frac{e^{ikr}}{\sqrt{r}}$$



where  $r, \theta$  are coordinate in the polar coordinates.

The incident wave can be expanded in terms of Bessel functions

$$e^{ikx} = e^{ikr \cos \theta} = \sum_{m=0}^{\infty} \epsilon_m i^m \cos m \theta J_m(kr)$$

where  $\epsilon_m = 2$  for  $m \neq 0$ , and  $\epsilon_0 = 1$ .

① The Schrödinger equation for the scattering problem

$$-\frac{\hbar^2}{2m} \nabla^2 \psi + V\psi = E\psi,$$

By separating variables  $\psi = R_m(r) T_m(\theta)$ , where  $T_m(\theta) = \frac{1}{\sqrt{\pi}} \cos m\theta$

Find the radial equation for  $R(r)$ .

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② In the region outside  $V(r)$ , prove that  $R_m(kr)$  can be written as

$$R_m(kr) \xrightarrow{kr \rightarrow \infty} A_m \frac{1}{\sqrt{kr}} \cos(kr - \frac{\pi}{2}(m + \frac{1}{2}) + \delta_m)$$

where  $A_m$  is a coefficient,  $\delta_m$  is the phase shift. You need

to use the asymptotic form

$$J_m(kr) \xrightarrow{kr \rightarrow \infty} \sqrt{\frac{2}{\pi kr}} \cos(kr - \frac{\pi}{2}(m + \frac{1}{2}))$$

$$N_m(kr) \xrightarrow{kr \rightarrow \infty} \sqrt{\frac{2}{\pi kr}} \sin(kr - \frac{\pi}{2}(m + \frac{1}{2})),$$

where  $J_m$ ,  $N_m$  are the  $m$ -th order Bessel and Neuman functions, respectively.

③ Equating this with the scattering boundary condition

$$\psi(r, \theta) \xrightarrow{r \rightarrow \infty} e^{ikr} + f(\theta) \frac{e^{ikr}}{\sqrt{r}}.$$

we have 
$$\sum_{m=0}^{\infty} E_m i^m \cos m\theta \left(\frac{2}{\pi kr}\right)^{1/2} \cos(kr - \frac{\pi}{2}(m + \frac{1}{2}))$$

$$+ \frac{f(\theta)}{\sqrt{r}} e^{ikr} = \sum_{m=0}^{\infty} A_m (kr)^{-1/2} \cos(kr - \frac{\pi}{2}(m + \frac{1}{2}) + \delta_m) \cos m\theta$$

(3)

Show that  $A_m$  can be written as

$$A_m = 2 \epsilon_m i^m (2\pi)^{-1/2} e^{i\delta_m}$$

and we have 
$$f(\theta) = \left( \frac{1}{2\pi i k} \right)^{1/2} \sum_{m=0}^{\infty} \epsilon_m \cos(m\theta) \left[ e^{i\delta_m} - 1 \right]$$

④ we can define "total scattering length"

$$\lambda = \int_0^{2\pi} \lambda(\theta) d\theta, \text{ where } \lambda(\theta) = |f(\theta)|^2$$

Show that 
$$\lambda = \frac{4}{k} \sum_{m=0}^{\infty} \epsilon_m \sin^2 \delta_m.$$