

Topic I → $\left\{ \begin{array}{l} \text{Thermal Equilibrium} \\ \text{Plasma} \end{array} \right.$

(I)

→ Thermal Equilibrium Plasma

- simplest possible dynamics question

⇒ What is spectrum of thermal equilibrium fluctuations in plasma?

- answer → determined by balance between

→ emission and absorption

→ Fluctuation ↔ Dissipation

What is key physics of each?

Generic Consideration

Consider some simple examples:

- particle undergoing Brownian force on fluid

$$m \frac{d\underline{v}}{dt} = -\gamma m \underline{v} + \underline{F}$$

Stokes drag
~v

particle in fluid at temp T

thermal fluctuations

\underline{F} → random (statistical) → uncorrelated in time → correlation times

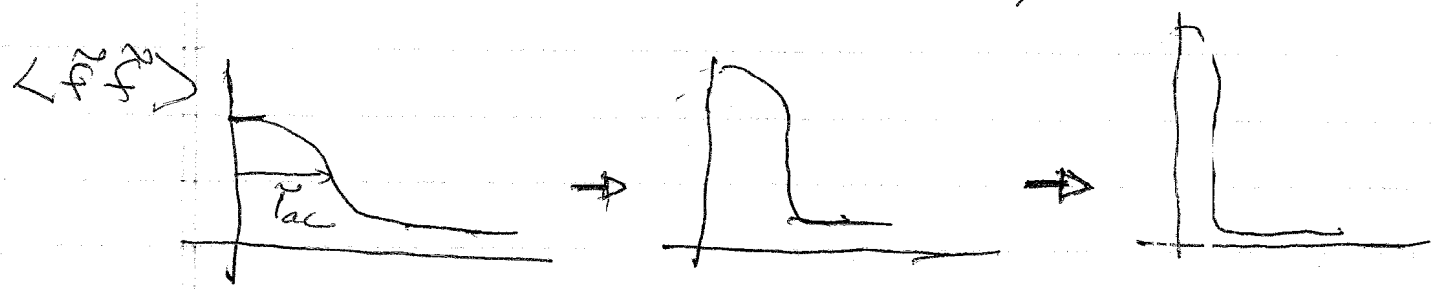
$$\langle \tilde{F}(t_1) \tilde{F}(t_2) \rangle = 2\tilde{F}_0^2 \tau_c \delta(t_1 - t_2)$$

What is τ_c $\int \rightarrow$ spectral auto-correlation time (self-coherence)

\rightarrow measures self-correlation of random force.

i.e. if stationary,

$$\langle \tilde{F}(0) \tilde{F}(T) \rangle = \langle \tilde{F}(T_1) \tilde{F}(T_2) \rangle$$



\Rightarrow for "white noise" $\tau_c \ll$ all other time scales

now,

$$\frac{d\tilde{v}}{dt} + \gamma \tilde{v} = \frac{\tilde{F}(t)}{m}$$

$$\tilde{v}(t) = e^{-\gamma t} \tilde{v}(0) + \int_0^t dt' e^{-\gamma(t-t')} \frac{\tilde{F}(t')}{m}$$

Now $|\tilde{v}|^2 = e^{-2\gamma t} |\tilde{v}(0)|^2 + \langle \underbrace{\left(\int_0^t dt' e^{-\gamma(t-t')} \frac{\tilde{F}(t')}{m} \right)^2}_{\text{cross terms}} \rangle + \int_0^t dt' e^{-\gamma(t-t')} \frac{\tilde{F}(t')}{m} \int_0^t dt'' e^{-\gamma(t-t'')} \frac{\tilde{F}(t'')}{m}$

$$|\tilde{V}|^2 = e^{-2\gamma t} |\tilde{V}(0)|^2 + \int_0^t dt' \int_0^t dt'' e^{-\gamma(t-t')} e^{-\gamma(t-t'')} \frac{\tilde{F}(t') \tilde{F}(t'')}{m^2}$$

ensemble statistical average

$$\langle |\tilde{V}|^2 \rangle = e^{-2\gamma t} \langle |\tilde{V}(0)|^2 \rangle + \int_0^t dt' \int_0^t dt'' e^{-\gamma(t-t')} e^{-\gamma(t-t'')} \frac{\langle \tilde{F}(t') \tilde{F}(t'') \rangle}{m^2}$$

(2 for symmetrization)

$$= e^{-2\gamma t} \langle |\tilde{V}(0)|^2 \rangle + \int_0^t dt' \int_0^t dt'' e^{-\gamma(t-t')} e^{-\gamma(t-t'')} \frac{2 \frac{v_{rms}^2}{c} \delta(t-t'')}{m^2}$$

$$= e^{-2\gamma t} \langle |\tilde{V}(0)|^2 \rangle + \int_0^t dt' e^{-2\gamma(t-t')} \frac{2 \frac{v_{rms}^2}{c}}{m^2}$$

$$= e^{-2\gamma t} \langle |\tilde{V}(0)|^2 \rangle + e^{-2\gamma t} \frac{2 \frac{v_{rms}^2}{c}}{m^2} \frac{1}{2\gamma} (e^{2\gamma t} - 1)$$

$$= e^{-2\gamma t} \langle |\tilde{V}(0)|^2 \rangle + \frac{2 \frac{v_{rms}^2}{c}}{2\gamma m^2} (1 - e^{-2\gamma t})$$

so for t large ($\gamma t \gg 1$)

$$\langle \dot{W}^2 \rangle \approx \frac{f_0^2 \gamma_0}{\gamma m^2}$$

but $m \frac{\langle \dot{W}^2 \rangle}{2} = T \Rightarrow$ bath at T!

$$\Rightarrow T \approx \frac{f_0^2 \gamma_0}{2 \gamma m}$$

$$\frac{f_0^2 \gamma_0}{m^2} = \gamma \frac{T}{m}$$

Fluctuation-dissipation theorem

- CE \Rightarrow given
- noise ($f_0^2 \gamma_0$)
 - damping (γ)
 - temperature (T)

must have:

$$(\text{noise}) = (\text{damping}) T$$

\Rightarrow given 2 of 3 \Rightarrow deduce third!

$$\frac{d\tilde{v}}{dt} + \gamma\tilde{v} = \frac{F(t)}{m}$$

 $\tilde{v}(t)$
 \nearrow stationarity

$$\frac{d}{dt} \left\langle \frac{\tilde{v}^2}{2} \right\rangle + \gamma \langle \tilde{v}^2 \rangle = \left\langle \frac{F\tilde{v}}{m} \right\rangle$$

 but

$$\tilde{v}(t) = e^{-\gamma t} \tilde{v}(0) + \int_0^t dt' e^{-\gamma(t-t')} \frac{F(t')}{m}$$

$$\langle \tilde{v}^2 \rangle = \frac{T}{m} = \frac{1}{\gamma} \left\langle \tilde{F} \int_0^t dt' e^{-\gamma(t-t')} \frac{F(t')}{m} \right\rangle$$

$$\langle \tilde{F}(t) F(t') \rangle = |\tilde{F}|^2 \gamma_c \delta(t-t')$$

$$\langle \tilde{v}^2 \rangle = \frac{T}{m} = \frac{1}{\gamma} \frac{|\tilde{F}|^2 \gamma_c}{m}$$

$$\boxed{\langle \tilde{F}^2 \rangle \gamma_c = \gamma T}$$

→ equilibrium:

→ emission by noise

→ absorption by damping

⇒ balance matches T ↓

note: alternatively

$$(-i\omega + \gamma) \tilde{V}_\omega = \tilde{F}_\omega / m$$

$$|\tilde{V}_\omega|^2 = \frac{|\tilde{F}_\omega|^2}{(\omega^2 + \gamma^2)}$$

White noise: spectral intensity f/ct

$$\int d\omega |\tilde{V}_\omega|^2 = \frac{2T}{m} = \frac{|\tilde{F}_\omega|^2}{m^2} \int \frac{d\omega}{\omega^2 + \gamma^2}$$

$$= \frac{|\tilde{F}_\omega|^2}{\gamma} m^2$$

$$\frac{|\tilde{f}_\omega|^2}{m^2} = 2\gamma \frac{I}{m}$$

→ same

→ factors ↔ normalizations

↗ noise spectral density

note

$$|\tilde{v}_\omega|^2 = \frac{|\tilde{f}_\omega|^2}{m^2 (\omega^2 + \gamma^2)}$$

response spectral density

↘ damping

$$= \frac{|\tilde{f}_\omega|^2}{m^2 |\chi(\omega)|^2}$$

de

$$\frac{I}{m} = \int |\tilde{q}|^2 d\omega$$

↘ response function
[damping ↔ width]

of oscillator:

$$\ddot{x} + \gamma \dot{x} + \omega_0^2 x = \frac{f}{m}$$

$$|\tilde{x}_\omega|^2 = \frac{|\tilde{f}_\omega|^2}{m^2 ((\omega_0^2 - \omega^2)^2 + (\gamma\omega)^2)}$$

Note: $T/m = \int \frac{|\tilde{a}|^2}{|r(\omega)|^2 + |r_{IM}(\omega)|^2} d\omega$

if $\rightarrow |\tilde{a}(\omega)|^2$ broad
 $\rightarrow r(\omega)$ has lines, so

$$r(\omega) = (\omega - \omega_0) \frac{\partial r}{\partial \omega}$$

$$T/m = \int \frac{|\tilde{a}|^2 d\omega}{(\omega - \omega_0)^2 \left(\frac{\partial r}{\partial \omega}\right)^2 + |r_{IM}(\omega)|^2}$$

$$= |\tilde{a}(\omega)|^2 \int \frac{d\omega}{|r_{IM}(\omega)|^2 \left[\frac{(\omega - \omega_0)^2 \left|\frac{\partial r}{\partial \omega}\right|^2}{|r_{IM}(\omega)|^2} + 1 \right]}$$

$$\approx \frac{|\tilde{a}(\omega)|^2}{|r_{IM}(\omega)|^2 \left|\frac{\partial r}{\partial \omega}\right|_{\omega_0}}$$

$$|\tilde{a}(\omega)|^2 = \left(r_{IM} \frac{T}{m} \right) \Big|_{\omega_0} \left| \frac{\partial r}{\partial \omega} \right|_{\omega_0}$$

↓ noise
 ↓ dissn
 ↓ Temp
 ↓ ω_0
 ↓ ω_0

→ Fluctuations set by $\left\{ \begin{array}{l} \text{noise} \\ \text{damping} \\ \text{collective modes} \end{array} \right\}$
 response \rightarrow c.e. $\omega \approx \omega_0$
 natural frequency

→ $2 \left(\frac{1}{2} k x^2 \right) = 2 \left(\frac{m \omega_0^2}{2} x^2 \right) = T$
sets condition

Lesson: → Thermal equilibrium spectrum
 set by $\left. \begin{array}{l} - \text{collective modes} \\ - \text{damping} \end{array} \right\}$ resonances
 - noise

→ F-D Thm links these, explicitly

- For plasma, thermal equilibrium requires understanding
- noise
- collective modes
- damping

⇒ Test Particle Model (TPM)

point: ① Plasma → particles
 ↓ fields supports bath

emission, absorption

② need calculate → collective modes
 damping } response

⇒ emission → noise

TPM → how related?

For modes:

- need plasma model

- i.e. set of equations

- Liouville → follow N particles

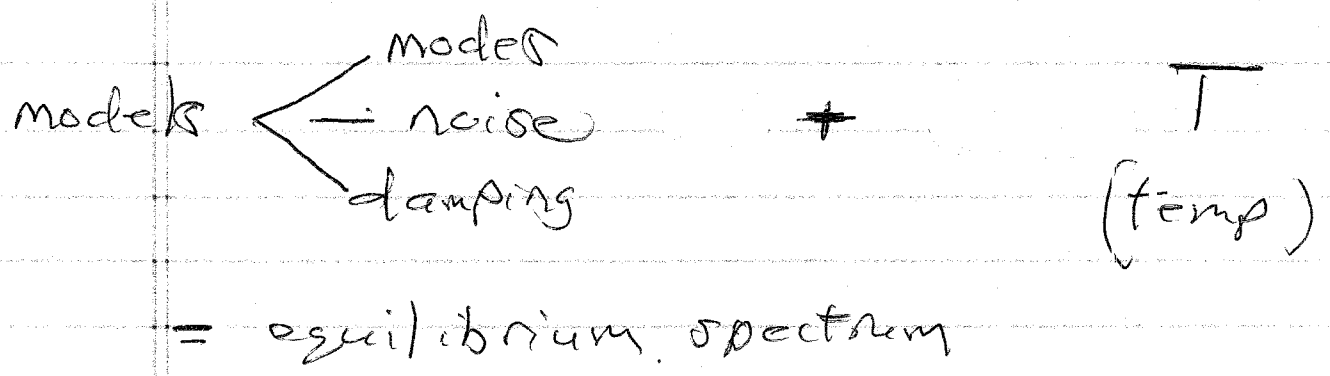
- Boltzmann → $f(x, v, t)$

- i.e. $\frac{\partial f}{\partial t} + \underline{v} \cdot \frac{\partial f}{\partial \underline{x}} + \frac{q}{m} \underline{E} \cdot \frac{\partial f}{\partial \underline{v}} = C(f)$
 ↳ collision operator

$$\nabla^2 \phi = -4\pi n_0 q \int d\underline{v} f$$

- Vlasov \rightarrow CCF $\rightarrow 0$

- need develop theory of plasma modes



but, before embarking on systematic theory of modes, useful to explore:

\rightarrow simple theory of plasma waves/modes.
i.e. collective response resonances

I. Kinetic Equations and BBGKY Hierarchy

Now have established:

- ① - nature of fluctuations near equilibrium (FDT)
- ② - basic modes, dynamics $\left\{ \begin{array}{l} \text{but} \rightarrow \text{drags} \end{array} \right\}$
collisionless

\Rightarrow now need kinetic equation on firm theoretical foundation

BBGKY Hierarchy $\left\{ \begin{array}{l} \text{Bogoliubov Born} \\ \text{Green, Kirkwood, Yuan} \end{array} \right.$

i.e. from

① Liouville $\Leftrightarrow N = \int_c d(\underline{x} - \underline{x}_i(t)) d(\underline{v} - \underline{v}_i(t))$
(Klimontovich)

\rightarrow exact but useless $\left\{ \begin{array}{l} \approx 10^{23} \times 10^{23} \\ \text{particles} \end{array} \right.$

② $f_s \Leftrightarrow f_s = F(\Gamma_1, \Gamma_2, \dots, \Gamma_s)$

$\frac{\partial f_s}{\partial t} + \dots = S(f_{s+1}) \rightarrow$ coupled hierarchy

to Boltzmann \leftrightarrow truncated 1 body eqn.

$$\frac{\partial F}{\partial t} + \underline{v} \cdot \nabla F + \frac{q}{m} \underline{E} \cdot \frac{\partial F}{\partial \underline{v}} = C[F(1, 2)]$$

$$F = F(\underline{x}, \underline{v}, t) = C[F(1) F(2)]$$

\hookrightarrow particle distrib.
density of phase space
fluid \rightarrow

Vlasov \leftrightarrow collisionless Boltzmann
continuity equation \leftrightarrow phase space fluid.

$$\frac{\partial F}{\partial t} + \underline{v} \cdot \nabla F + \frac{q}{m} \underline{E} \cdot \frac{\partial F}{\partial \underline{v}} = 0$$

$df/dt = 0$
phase space fluid density conserved

N.B. Only Boltzmann and Vlasov equations are "useful" in any sense

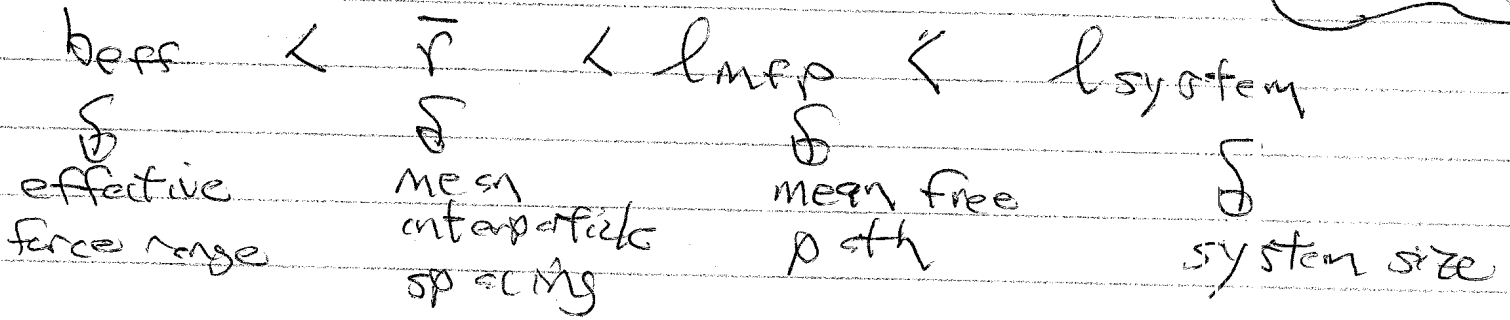
to

Fluid equations \leftrightarrow moments of Boltzmann Eqn
- truncation problem

How? \rightarrow Diluteness / Weak Correlation
 $\rightarrow T \gg \frac{e^2}{r} \sim e^2 n^{1/3}$ thermal energy \gg 2.5. energy
 \hookrightarrow low thermal energy \rightarrow little correlation

$\Rightarrow \frac{1}{n} \lambda_D^3 \ll 1 \Rightarrow$ fundamental ordering parameter
 $\frac{1}{n} \left(\frac{T}{4\pi n e^2} \right)^{3/2} \sim \frac{T^{1/2}}{n^{1/2}}$

de fac { weakly correlated plasma: collisional } \Rightarrow { basic scale ordering }



Call:

$\delta_{\text{eff}} \approx e^2/T \sim \lambda_D / (n \lambda_D^3)$

$\frac{e^2}{\delta} \sim v_{\text{Th}}^2$

$\bar{r} \approx 1/n^{1/3} \sim \lambda_D / (n \lambda_D^3)^{1/3}$

$l_{\text{mfp}} \approx \frac{v_{\text{Th}}}{v_c} \sim \frac{v_{\text{Th}}}{\frac{\omega_p}{(n \lambda_D^3)}} \sim \lambda_D (n \lambda_D^3)$

$l_{\text{system}} = L$ geometry

then clearly have:

$$k_{eff} < \bar{T} < k_{mp}$$

Why care? → From Liouville → Boltzmann

→ electrostatics → N body, Hamiltonian system

$$\nabla_{\underline{r}} \cdot \underline{V}_{\text{phase space}} = 0 \quad (\text{Liouville's Thm.})$$

$$\underbrace{\sum_c \frac{\partial}{\partial q_c} \dot{q}_c + \frac{\partial}{\partial p_c} \dot{p}_c = 0}_{\text{rpt./summed}} \quad \left. \begin{matrix} \underline{q} \\ \underline{p} \end{matrix} \right\} \text{generalized coordinates, momenta}$$

so if

$$N = \sum_{i=1}^N d(\underline{x} - \underline{x}_i(t)) d(\underline{v} - \underline{v}_i(t))$$

→ N body distribution

→ exact distribution ↔ conserved

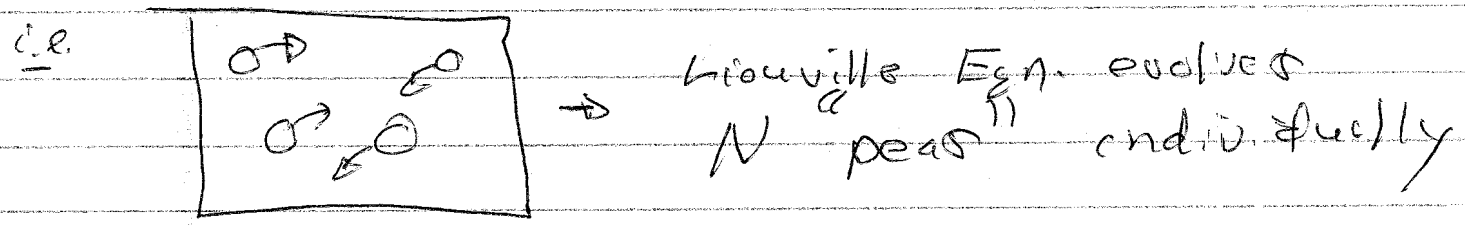
$$\frac{d}{dt} N + \nabla_{\underline{r}} \cdot (\underline{V}_{\underline{r}} N) = 0$$

$$\Rightarrow \frac{\partial N}{\partial t} + \underline{V}_{\underline{r}} \cdot \nabla_{\underline{r}} N = 0$$

Liouville's Thm
conserved along
N-body trajectories

not $\rightarrow N^1$ not useful $\rightarrow 6N$ dimension

\rightarrow need collective description \rightarrow phase space fluid evolution



better: { crush / smear peas \Rightarrow "pea soup"
 no gaps $\Rightarrow \frac{1}{n \lambda^3} \ll 1$

\Rightarrow evolve density of pea soup $\rightarrow f$

i.e. seek equation for $F(x, v, t)$ evolution.

? How from $dN/dt \rightarrow$ Vlasov Equation.

\rightarrow Consider N particle system

- Hamiltonian

- $V(\mathbf{r}_1, \mathbf{r}_2) = \frac{q_1 q_2}{r_{1,2}} \rightarrow$ 2 body interaction potential

Key for N particle distribution function f_N !

$$\frac{\partial F^N}{\partial t} + \sum_{i=1}^N \left(\underline{x}_i \cdot \frac{\partial F^N}{\partial \underline{x}_i} + \underline{p}_i \cdot \frac{\partial F^N}{\partial \underline{p}_i} \right) = 0$$

⇒

$$\frac{\partial F^N}{\partial t} + \sum_{i=1}^N \left(\underline{v}_i \cdot \frac{\partial F^N}{\partial \underline{x}_i} - \frac{\partial F^N}{\partial \underline{p}_i} \sum_{j \neq i} \frac{\partial V_{ij}}{\partial \underline{x}_i} \right) = 0$$

since $\underline{x}_i = \underline{v}_i$

$$\underline{p}_i = - \partial \sum_{j \neq i} V_{ij} / \partial \underline{x}_i$$

we construct hierarchy by integrating out points, i.e.

$$\Gamma = (\underline{x}, \underline{p})$$

$F(t, \underline{x}, \underline{p}) = \int d\Gamma_2 d\Gamma_3 \dots d\Gamma_N F^N \rightarrow$ 1pt distribution

$F(t, \underline{x}_1, \underline{p}_1, \underline{x}_2, \underline{p}_2) = \int d\Gamma_3 \dots d\Gamma_N F^N \rightarrow$ 2pt. distribution
 $F^{(2)}, F^{(3)}$ etc. fully correlated, colly.

$$\frac{\partial F^{(n)}}{\partial t} + \underline{v}_1 \cdot \underline{D}_1 F^{(n)} + \frac{2}{m} \underline{F} \cdot \frac{\partial F^{(n)}}{\partial \underline{v}_1} = (N-1) \int d\Gamma_2 \frac{\partial V_{12}}{\partial \underline{x}_1} \cdot \frac{\partial F^{(n)}}{\partial \underline{p}_1}$$

F^N is fully correlated

$N-1$ binary pairs

interaction

2. particle distribution

Similarly,

$$\frac{\partial f^{(4)}}{\partial t} + \underline{V}_1 \cdot \frac{\partial f^{(4)}}{\partial X_1} + \underline{V}_2 \cdot \frac{\partial f^{(4)}}{\partial X_2} + \frac{2 \underline{E}(q)}{m} \cdot \frac{\partial f^{(4)}}{\partial V_1}$$

$$+ \frac{2 \underline{E}(q)}{m} \cdot \frac{\partial f^{(4)}}{\partial V_2}$$

$$= (N-2) \int d\mathbf{r}_3 \left[\frac{\partial f^{(4)}}{\partial p_1} \cdot \frac{\partial V_{13}}{\partial r_1} + \frac{\partial f^{(4)}}{\partial p_2} \cdot \frac{\partial V_{23}}{\partial r_2} \right]$$

$\frac{(N-2)}{2}$ pairs

so $f^{(1)}$ in terms $f(1,2) = f^{(2)}$

$f^{(2)}$ in terms $f(1,2,3) = f^{(3)}$

⋮

⋮

⇒ coupled hierarchy ⇔ nonlinearity of Liouville eqn.

$N \rightarrow$ fields \rightarrow orbits

To truncate:

wave $k_{\text{eff}} < \bar{r} < k_{\text{max}}$

so $\frac{V_{+1}}{k_{\text{eff}}} > \frac{V_{+1}}{\bar{r}} > \frac{V_{-1}}{k_{\text{max}}}$
 $\int \delta V_{\text{coll}}$

Now, $d\Gamma_3 = d\Gamma_{3x} d\Gamma_{3v}$

$\int d\Gamma_3 \frac{\partial F^{(2)}}{\partial p} \sim \frac{1}{V} \frac{\partial F^{(2)}}{\partial p} A(3)$

$\int \delta$ spatial normalization $\sim 1/r^3$

\rightarrow contains spatial dependence of interaction f_{coll}

now, clarify

RHS $\sim \frac{\partial V}{\partial r} \frac{\partial F^{(2)}}{\partial p} \frac{1}{N} \frac{1}{r^3}$

\rightarrow effective "active volume" for scattering (n.b. screening helps)

\hookrightarrow volume normalization

Now, $N-2 \approx N$, as $N \gg 1$

$$N \int d\vec{r}_3 \frac{\partial F^{(3)}}{\partial p} \frac{\partial V}{\partial r} \sim \cancel{N} \frac{\partial V}{\partial r} \frac{b^3}{\bar{r}^3} \frac{\partial F^{(2)}}{\partial p}$$

$$\sim \frac{b^3}{\bar{r}^3} \frac{\partial V}{\partial r} \frac{\partial F^{(2)}}{\partial r}$$

so

$$RHS / LHS \sim b^3 / \bar{r}^3$$

$$\sim 1 / (N \bar{r}^3)^2 \ll 1$$

so

$$\frac{d}{dt} F^{(2)}(r, \vec{p}_1, \vec{p}_2) \approx 0$$

→ consistent with notion of particles interacting weakly,

→ diluteness ↔ "molecular chaos" → factorization

$$\text{i.e. } F^{(2)}(r, t) \Big|_{t=0} = F^{(1)}(r_1, t) \Big|_{t=0} F^{(1)}(r_2, t) \Big|_{t=0}$$

i.e. particles initially uncorrelated.

- of $f^{(2)}$ critically uncorrelated \Rightarrow remains so
- $\frac{1}{(2\pi n)^3} \ll 1 \Rightarrow$ no growth of correlation

Now, consider $f^{(1)}$ equation:

$$\frac{\partial f}{\partial t} + \underline{v} \cdot \frac{\partial f}{\partial \underline{x}} + \frac{q}{m} \underline{E} \cdot \frac{\partial f}{\partial \underline{v}} = N \int d^3 \underline{r}'_2 \frac{\partial V_{12}}{\partial \underline{r}_1} \cdot \frac{\partial f^{(2)}}{\partial \underline{p}_1}$$

but diluteness:

$$\underline{\text{S:}} \quad f^{(2)} = f^{(1)}(1, t) f^{(1)}(2, t)$$

\Rightarrow

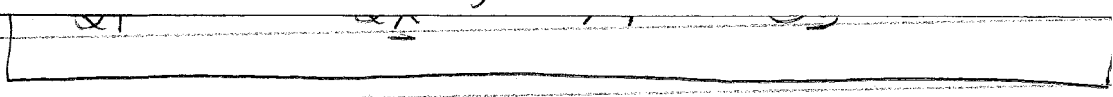
$$\begin{aligned} \frac{\partial f}{\partial t} + \underline{v} \cdot \frac{\partial f}{\partial \underline{x}} + \frac{q}{m} \underline{E} \cdot \frac{\partial f}{\partial \underline{v}} &= N \int d^3 \underline{r}'_2 \frac{\partial V_{12}}{\partial \underline{r}_1} \cdot \frac{\partial f^{(2)}}{\partial \underline{p}_1} \\ &= N \int d^3 \underline{r}'_2 \frac{\partial V_{12}}{\partial \underline{r}_1} \cdot \frac{\partial}{\partial \underline{p}_1} [f^{(1)}(1, t) f^{(1)}(2, t)] \end{aligned}$$

$$\equiv C(f)$$

\oint collision operator

\rightarrow have arrived at Boltzmann Eqn.

\rightarrow evolves f in collisional plasma (with Poisson)



\rightarrow Vlasov Eqn.

\rightarrow along with Poisson Eqn,

$$-\nabla^2 \phi = 4\pi q \int d\underline{v} F$$

- describes collisionless plasma dynamics.

N.B. Careful!

→ even if fluctuations "collisionless"

→ use of Maxwellian for $\langle F \rangle$

⇔ collisions.

→ Need identify characteristic $\left\{ \begin{array}{l} \text{collective} \\ \text{collisional} \end{array} \right.$ scales

→ plasma is continuous \Rightarrow characterize by collective modes (can calculate response).

II

Plasma / Fluid Collective Modes, Response

why $\epsilon = 0$

a) Cold Plasma ($T, \rho \rightarrow 0$)

$\frac{\partial n}{\partial t} + \nabla \cdot (n \underline{v}) = 0$ → continuity

$n m \frac{d\underline{v}}{dt} = n \left(q \underline{E} + \frac{\underline{v}}{c} \times \underline{B} \right)$ → momentum balance

+ Maxwell Equations

For electromagnetic / electrostatic wave:

$\underline{n} = \underline{n}_0 + \underline{\tilde{n}}$

$\underline{v} = \underline{v}_0 + \underline{\tilde{v}}$

$\underline{E} = \underline{E}_0 + \underline{\tilde{E}}$

$\underline{B} = \underline{B}_0 + \underline{\tilde{B}}$

→ 1 species - ions stationary

$$\frac{\partial \tilde{n}}{\partial t} = -n_0 \nabla \cdot \tilde{\underline{v}}$$

$$\frac{\partial \tilde{\underline{v}}}{\partial t} = \frac{q}{m} \tilde{\underline{E}} + \frac{q}{mc} \tilde{\underline{v}} \times \tilde{\underline{B}}$$

$$\nabla \cdot \tilde{\underline{E}} = 4\pi q \tilde{n}$$

$$\nabla \cdot \tilde{\underline{B}} = 0$$

$$\nabla \times \tilde{\underline{B}} = \frac{4\pi}{c} \tilde{\underline{J}} + \frac{1}{c} \frac{\partial \tilde{\underline{E}}}{\partial t}$$

$$\nabla \times \tilde{\underline{E}} = -\frac{1}{c} \frac{\partial \tilde{\underline{B}}}{\partial t}$$

$$\tilde{\underline{J}} = n_0 q \tilde{\underline{v}}$$

⇒ Fourier Transforming

$$\underline{\underline{E}} = \sum_{\underline{k}, \omega} \underline{\underline{E}}_{\underline{k}, \omega} e^{i(\underline{k} \cdot \underline{x} - \omega t)}$$

$$\underline{k} \times \underline{\underline{B}}_{\underline{k}, \omega} = -\frac{4\pi n_0 q}{c} \underline{\underline{v}}_{\underline{k}, \omega} - \frac{\omega}{c} \underline{\underline{E}}_{\underline{k}, \omega}$$

$$\frac{c}{\omega} \underline{k} \times (\underline{k} \times \underline{\underline{E}}_{\underline{k}, \omega}) = \frac{4\pi n_0 q^2}{m c \omega} \underline{\underline{E}}_{\underline{k}, \omega} - \frac{\omega}{c} \underline{\underline{E}}_{\underline{k}, \omega}$$

$$\underline{k} (\underline{k} \cdot \underline{\underline{E}}_{\underline{k}, \omega}) - k^2 \underline{\underline{E}}_{\underline{k}, \omega} = \underbrace{\frac{4\pi n_0 q^2}{c^2 m}}_{\omega_p^2 / c^2} \underline{\underline{E}}_{\underline{k}, \omega} - \frac{\omega^2}{c^2} \underline{\underline{E}}_{\underline{k}, \omega}$$

EM waves $(\underline{k} \cdot \underline{E}_{\omega} = 0)$

$$k^2 \underline{E}_{\omega} - \underline{k} (\underline{k} \cdot \underline{E}_{\omega}) = \frac{\omega^2}{c^2} \underline{E}_{\omega} = \frac{\omega_p^2}{c^2} \underline{E}_{\omega}$$

$\omega_p^2 = 4\pi n_0 e^2 / m$ \rightarrow plasma frequency
 \rightarrow characteristic frequency for (non-neutralized) plasma oscillations

$$k^2 = \frac{\omega^2}{c^2} \left(1 - \frac{\omega_p^2}{\omega^2} \right)$$

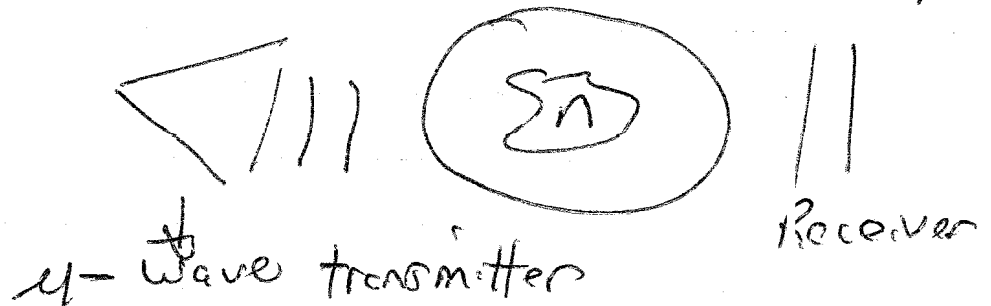
\rightarrow (ion stationary $\Rightarrow \omega \gg \omega_p$ ($\sim 1/M_i$))

$\omega^2 = \omega_p^2 + c^2 k^2$ Dispersion Relation for EM Waves in Unmagnetized plasma

$\rightarrow \epsilon(\omega) = 1 - \omega_p^2 / \omega^2$ - cold plasma dielectric (dispersive)

$\rightarrow \omega < \omega_p \Rightarrow k^2 < 0$ - ω_p is cut-off frequency

\rightarrow can diagnose density



Electrostatic Waves / Oscillations (Langmuir Osc.)

$$\underline{k} \cdot \underline{E} = kE \quad \leftrightarrow \text{alternatively obtain via } \left\{ \begin{array}{l} \text{Fluid eqns} \\ + \\ \text{Gauss Law} \end{array} \right.$$

$$\Rightarrow 0 = \left[(\omega^2 - \omega_p^2) / c^2 \right] \underline{E}_{\perp 0}$$

$$\omega^2 = \omega_p^2$$

- ions stationary $\leftrightarrow \omega^2 \gg \omega_{pi}^2 \sim 1/M_i$

- non-propagating oscillation $\omega^2 = \omega_p^2$

b) Warm Plasma Waves (Electrostatic)
(Langmuir Waves)

Now, introduce pressure

$$m \frac{\partial \underline{v}}{\partial t} = q \underline{E} - \frac{\nabla p}{n_0}$$

$$\frac{\partial n_0}{\partial t} = -n_0 \nabla \cdot \underline{v}$$

$$\nabla \cdot \underline{E} = 4\pi q \tilde{n}$$

$$\left\{ \begin{array}{l} p = p_0 (n/n_0)^\gamma \\ \text{- adiabatic} \\ p = \tilde{n} T \\ \text{- isotherms} \end{array} \right.$$

\downarrow
determine eqn. state
from kin. Th.

\vec{w}

$$\frac{\partial^2 \tilde{n}}{\partial t^2} = -n_0 \left(\frac{q}{m} \underline{\nabla} \cdot \underline{E} - \frac{\nabla^2 \phi}{n_0 m} \right)$$

$$= -\omega_p^2 \tilde{n} + \frac{I}{m} \nabla^2 \tilde{n}$$

$$\Rightarrow \frac{\partial^2 \tilde{n}}{\partial t^2} = -\omega_p^2 \tilde{n} + \frac{I}{m} \nabla^2 \tilde{n}$$

\downarrow plasma oscillation \downarrow streaming induced by $\nabla \rho$ (aka' acoustics)

$\frac{I}{m} \equiv v_{the}^2$

$$\omega^2 = \omega_p^2 + k^2 v_{th}^2$$

$$\rightarrow \omega^2 = \omega_p^2 (1 + k^2 \lambda_D^2)$$

$$\lambda_D^2 \equiv v_{th}^2 / \omega_p^2 \quad \rightarrow \text{Debye Length}$$

i.e.

$$\nabla^2 \tilde{n} - \frac{1}{\lambda_D^2} \tilde{n} = \frac{1}{v_{th}^2} \frac{\partial^2 \tilde{n}}{\partial t^2} \quad \Rightarrow \quad \omega \rightarrow 0 \text{ recovers screened Gauss' Law}$$

Recall Debye Length:

$$\nabla^2 \phi = 4\pi \rho_{ind} + 4\pi q \delta^3(x-x_0)$$

\downarrow screening response

\rightarrow test charge in plasma

$$F = n_0 \exp \left[-\frac{mv^2}{T} \pm \frac{q\phi}{T} \right] \quad \text{p. 2}$$

$$\rho_{\text{ind}} = n_0 q \exp \left[\frac{q\phi}{T_e} \right] - n_0 q \exp \left[-\frac{q\phi}{T_i} \right]$$

$$\approx \frac{\omega_{pe}^2}{4\pi V_{Te}^2} \phi + \frac{\omega_{pi}^2}{4\pi V_{Ti}^2} \phi$$

$$\Rightarrow \nabla^2 \phi - \left(\frac{1}{\lambda_{De}^2} + \frac{1}{\lambda_{Di}^2} \right) \phi = 4\pi \rho \delta(\mathbf{x} - \mathbf{x}_0)$$

Then $\omega \ll \omega_p \Rightarrow$ plasma response is streaming to screen test charge

\Rightarrow hence appearance Debye length

$\omega \gg \omega_p \Rightarrow$ warm plasma oscillation (too fast to screen)

Note: cold plasma q ($T=0$) \Rightarrow no energy to move to screen charge

\rightarrow Warm Plasma Wave combines $\left\{ \begin{array}{l} \text{plasma oscillation} \\ \text{acoustic wave} \end{array} \right.$

i.e. carries wave momentum

.) Ion Acoustic Wave

- so far, 'single species' dynamics

- consider now, ion acoustic wave, with $v_{Ti} < \frac{\omega}{k} < v_{Te}$

Recall, for warm electrons:

$$\frac{\partial \tilde{n}}{\partial t} + \nabla \cdot (\tilde{n} \vec{v}) = -n_0 \nabla \cdot \vec{v}$$

$$m_e \frac{\partial \vec{v}}{\partial t} = -k \tilde{E} - T_e \frac{\nabla \tilde{n}}{n_0} \\ = +|e| \nabla \tilde{\phi} - T_e \frac{\nabla \tilde{n}}{n_0}$$

$$(\tilde{v} = \tilde{n} v_{Te})$$

⇒

$$\frac{\partial^2 \tilde{n}}{\partial t^2} = -n_0 \left(\frac{|e|}{m_e} \nabla^2 \tilde{\phi} - \frac{v_{Te}^2}{n_0} \nabla^2 \tilde{n} \right)$$

$$\frac{\partial^2 \tilde{n}}{\partial t^2} - v_{Te}^2 \nabla^2 \tilde{n} = -n_0 \frac{|e|}{m_e} \nabla^2 \tilde{\phi}$$

↓
electron compression
 $O(\omega^2)$ $O(k^2 v_{Te}^2)$

∴ for $k^2 v_{Te}^2 \gg \omega^2$

$$\frac{\tilde{n}}{n_0} = \frac{e|\phi|}{T_e}$$

Note:

→ equivalent to limit where electron inertia negligible

i.e. $m_e \rightarrow 0$ ($v_{Te}^2 \rightarrow \infty$) $\Rightarrow \frac{\tilde{n}}{n_0} = \frac{e|\phi|}{T_e}$

→ could, in limit $k^2 v_{Te}^2 \gg \omega^2$, obtain from Boltzmann response

$$\begin{aligned} \text{i.e. } E \rightarrow E - e|\phi| &\Rightarrow f_e = C \exp\left[-\frac{(mV^2 - e|\phi|)}{T}\right] \\ &\approx \left(1 + \frac{e|\phi|}{T}\right) f_{0M} \end{aligned}$$

For ions (cold)

$$\frac{\partial \tilde{n}}{\partial t} = -n_0 \nabla \cdot \tilde{\mathbf{v}}$$

$$\frac{\partial \tilde{\mathbf{v}}}{\partial t} = +\frac{e}{m_i} \tilde{\mathbf{E}}$$

$$\frac{\partial^2 \tilde{n}}{\partial t^2} = +n_0 \frac{|e|}{m_i} \nabla^2 \tilde{\phi}$$

$$\frac{\tilde{n}_i}{n_0} = + \frac{|e|}{m_i} \frac{k^2}{\omega^2} \tilde{\phi}_{i,\omega}$$

$$\nabla^2 \phi = -4\pi n_0 |e| \left(\frac{\tilde{n}_i}{n_0} - \frac{\tilde{n}_e}{n_0} \right)$$

$$k^2 \tilde{\phi}_{i,\omega} = +4\pi n_0 |e| \left(\frac{|e|}{m_i} \frac{k^2}{\omega^2} \tilde{\phi}_{i,\omega} - \frac{|e|}{T_e} \tilde{\phi}_{i,\omega} \right)$$

$$k^2 = \frac{\omega_{pi}^2 k^2}{\omega^2} - \underbrace{\frac{\omega_{pe}^2}{V_{Te}^2}}_{\rightarrow \infty}$$

$$\Rightarrow \left(k^2 + 1/\lambda_{De}^2 \right) = \frac{\omega_{pi}^2 k^2}{\omega^2}$$

$$\omega^2 = k^2 c_s^2 / (1 + k^2 \lambda_{De}^2)$$

$$c_s^2 = T_e / m_i$$

Note:

→ Compare hydrodynamic acoustic wave:

$$\frac{\partial \tilde{\rho}}{\partial t} = -\rho_0 \nabla \cdot \tilde{\mathbf{v}}$$

$$\frac{\partial \tilde{\mathbf{v}}}{\partial t} = -\frac{\nabla \tilde{p}}{\rho_0}$$

$$\tilde{p} = c_s^2 \tilde{\rho}$$

	<u>Hydro</u>		<u>Ion - Acoustic</u>
"Springiness"	Gas Pressure		T_e
Inertia	Gas Density / inertia		m_i

i.e. ion-acoustic wave is two component, hybrid oscillation

$$\rightarrow (k^2 + 1/\lambda_{De}^2) = \frac{\omega_{pi}^2}{\omega^2} k^2$$

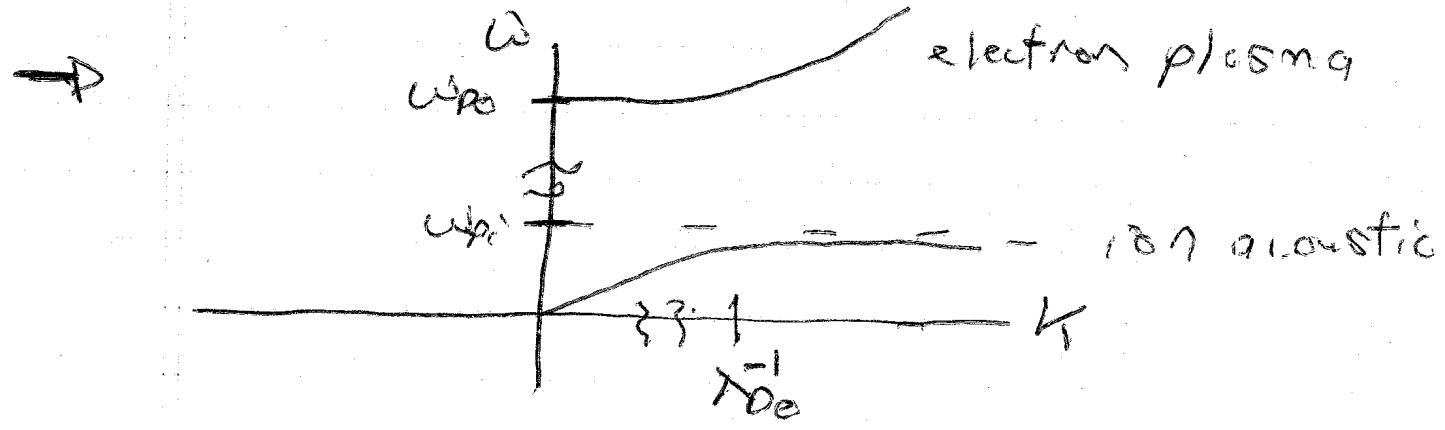
$$(1 + 1/k^2 \lambda_{De}^2) = \frac{\omega_{pi}^2}{\omega^2}$$

↓
Debye shielding (T_e)

↳ ion plasma oscillation (m_i)

Ion-acoustic wave as Debye-shielded ion plasma oscillation

Note: $k^2 \lambda_{De}^2 \geq 1 \Rightarrow \omega^2 \rightarrow \omega_{pe}^2$



Basic modes (electrostatic) of un-magnetized plasma.

Basic Scales: $\left\{ \begin{array}{l} \omega_{pe}, \omega_{pi} \\ \lambda_{De} \\ v_{Te}, C_s \end{array} \right.$

C.) Nonlinear Fluid Plasma Waves

→ Langmuir, Ion Acoustic Waves → 1D Compressional Waves

→ 1D Compressional Wave (Linear) ↓ (steepening - finite amplitude)

Shock

collisional (standard) (Burgers)

collisionless (KdV)