

# Physics 214 UCSD/225a UCSB

## Lecture 5

- Symmetries & QCD
  - Greiner QM part 2: Symmetries
  - Halzen & Martin
    - “Low energy” QCD is close to impossible to calculate. Accordingly, symmetries, even approximate ones, play an important role in QCD. E.g.:
      - ⇒ Meson & Baryon spectroscopy
      - ⇒ Hadronic decays
      - ⇒ Pion-nuclear scattering
      - ⇒ ...

# Disclaimer

- Don't expect mathematical rigor !!!
- E.g.:
  - I call semi-simple Lie groups simply Lie groups, and have probably made a few other simplifications that I'm not aware of.
  - If you find one, feel free to point them out, teaching me something in the process.

# Origin of Symmetry in QCD

- QCD is flavor blind, i.e. independent of the quark flavor.
- Flavor symmetry of interactions as long as quark masses can be considered the same.
  - $m_u = m_d =$   $\Rightarrow$  SU(2) symmetry called “Isospin”.
  - $m_s =$   $\Rightarrow$  SU(3) flavor symmetry of u,d,s
  - $m_c = m_b =$   $\Rightarrow$  HQET

# Symmetries in QM

- a reminder -

- Time evolution of a state  $\psi$  is fully described by:

$$i\hbar \frac{\partial}{\partial t} \psi = H\psi$$

- It is obviously of great practical value to understand the complete set of symmetry operations  $S$ :  $S \psi = \psi'$  for which  $\psi'$  has the same time evolution as  $\psi$ .
- This implies:  $S H S^{-1} = H$  or  $[H, S] = 0$

# Utility of Group Theory

The set of  $\{S_1, \dots, S_n\}$  is called a group if:

1. A product “x” is defined such that  
 $S_m \times S_k = S_l$  with  $S_l \in G \quad \forall m, k$
2. an element  $S_0 \in G$  exists for which  
 $S_0 \times S_k = S_k \quad \forall k$
3.  $\forall m$  there is a  $k$  such that  $S_m \times S_k = S_0$
4. Multiplication is associative

*If you know the symmetry for a hamiltonian you obviously  
Save yourself a lot of unnecessary calculations.*

# Lie groups are especially useful

- L is a group for which all elements are infinitely differentiable functions of some set of parameters. E.g.:

$$S(\alpha_1, \dots, \alpha_n) = e^{-i \sum_{j=1}^n \alpha_j L_j}$$

$$\left. \frac{\partial S}{\partial \alpha_j} \right|_{\alpha_j=0} = -iL_j$$

- $L_j$  are called the generators of the group L.  
Sort of like basis vectors to span S.

- It is obviously useful to know the complete Lie group  $L$  of  $H$  because it allows straightforward construction of all states  $\psi'$  that have the same time evolution as  $\psi$  .
- In the following, we will go through some characteristics of Lie groups without proof.
- For more details, see Greiner chapters 1-4.

- Generators of L form an orthogonal set.
- Lie group is fully characterized by the commutator relationship among its generators:

$$[L_k, L_l] = c_{klm} L_m$$

This equation is thus called the “Lie algebra” of L.

- Theorem of Racah:  
For every Lie group L of rank k there is a set of exactly k “Casimir” operators  $C_1, \dots, C_k$  that commute with every operator in L, including themselves.
- A hamiltonian H that has the symmetry L will have exactly 2k good quantum numbers, in addition to E.
- It can be shown that any operator A that commutes with all operators in L (i.e. commutes with the generators) must be a function of the Casimir operators. This implies that  $E = E(C_1, \dots, C_k)$ .



# Importance to Physics

- The Hilbert Space of all states  $\psi$  that satisfy the Schroedinger equation is divided into “multiplets” characterized by the value for the set of  $k$  Casimir operator eigenvalues.
- Transitions between multiplets do not happen.
- All states within a given multiplet have the same energy.
- Out of the  $N$  generators of the Lie group, a set of  $k$  (generally  $k < N$  except for abelian groups for which  $k=N$ ) can be diagonalized with  $H$  simultaneously, thus providing the second set of  $k$  good quantum numbers.

# Summary

- Let  $L$  be the  $N$  dimensional Lie group of Rank  $k$  for the Hamiltonian  $H$ .
- Then we have the following set of operators that mutually commute:

$$H, C_1, \dots, C_k, L_1, \dots, L_k$$

- Any state is thus characterized by  $2k$  quantum numbers.
- The energy  $E$  is given as some function of the  $C_1, \dots, C_k$ .

# Examples

- Translation Group
- Rotation Group
- Flavor SU(3)

# Translation group

- Translations commute with each other.
- The generators of the translation group thus commute.
- All generators are thus Casimir operators of the group.
- The generators of the group are the momentum operators  $p_x, p_y, p_z$

# Group of Rotations in 3-space

- Generators:  $J_x, J_y, J_z$
- Lie algebra:  $[J_k, J_l] = i \varepsilon_{klm} J_m$
- Rank = 1
- Casimir Operator:  $J^2$
- Multiplets are classified by their total angular momentum  $J$
- States are classified by  $J$  and  $J_z$ , the latter being one of the three generators.

# Group Representations

- A group of  $N \times N$  matrices is called an  $N$ -dimensional representation of a Lie group if there is a one-to-one map:  $L_k \leftrightarrow M_k$  such that  $[M_k, M_l] = c_{klm} M_m$  with  $c_{klm}$  being the structure constants of the Lie group.

# Back to rotation group

- $SO(3)$  = group of orthonormal  $3 \times 3$  matrices with determinant = 1.
- $SU(2)$  = group of all  $2 \times 2$  unitary traceless matrices.
- Aside:  $SU(2)$  is sometimes used as name for the more general rotation group, not just the  $2 \times 2$  unitary traceless matrices. I will do that from now on because it's shorter than writing "rotation group".

# SU(2) and Spin

- We know that spin  $1/2$  is the fundamental representation of spin because all other spin states can be constructed by angular momentum addition of spin  $1/2$  !!!
  - ⇒ Fundamental representation of the rotation group.
  - ⇒ Fundamental representation of “SU(2)”



# Reminder: Generators of SU(2)

$$K_i = \frac{1}{2} \sigma_i$$

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Arbitrary rotation in spin space:

$$\begin{pmatrix} |u'\rangle \\ |d'\rangle \end{pmatrix} = e^{i \sum_{k=1}^3 \alpha_k \sigma_k} \begin{pmatrix} |u\rangle \\ |d\rangle \end{pmatrix}$$

And we combine spins the same way we have always combined them, using Clebsch-Gordan coefficients.

# Language Comparison

- Group theory:

$$2 \otimes 2 = 1 \oplus 3$$

1-dim Matrix

Spin 0

3-dim matrix

Spin 1

- Spin in QM:

$$1/2 \otimes 1/2 = 0 \oplus 1$$

*3-dim because  $m=-1,0,1$*

# Reducible vs Irreducible Representation

$$\begin{pmatrix} (1x1) & 0 \\ 0 & \begin{pmatrix} 3 & x & 3 \end{pmatrix} \end{pmatrix}$$

This describes:  
 $2 \otimes 2 = 1 \oplus 3$

Or in spin language: This 4x4 matrix describes a system with spin 0 and spin 1 as irreducible subspaces.

# In contrast to $1 \oplus 3$ : Spin 3/2

$$S_z = \frac{1}{2} \begin{pmatrix} 3 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -3 \end{pmatrix}$$

This describes the z-component of a spin in the sense that:

$$|j = 3/2; m = 3/2\rangle = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

The 4x4 matrices are thus used here to describe the dim-4 multiplet of a spin 3/2 particle.

Arbitrary rotations in this space are thus implemented via the generators of the 4x4 representation of the rotation group.

# Commonality of all representations of the rotation group.

- Rank = 1
- ⇒ there are only two good quantum numbers !!!
- ⇒  $|J;m\rangle$  the eigenvalues of  $J^2$  and  $J_z$

*What if the physics has more conserved quantum numbers?*

*Then there must be a higher rank symmetry group that describes the physical system !!!*

# Examples of higher rank symmetry groups

$SU(n)$   $\Rightarrow$  Rank =  $n-1$   
 $\Rightarrow$  # of generators =  $n^2-1$

# Applications of Flavor SU(2)

- Spectroscopy
- Scattering
- Partial decay widths

# Spectroscopy of Isospin = 1/2

- Quarks:  $|u\rangle = \left| \frac{1}{2}; T_3 = +\frac{1}{2} \right\rangle$   $m = 1.5$  to  $3$  MeV  
 $|d\rangle = \left| \frac{1}{2}; T_3 = -\frac{1}{2} \right\rangle$   $m = 3$  to  $7$  MeV
- Mesons:  $|K^{(*)+}\rangle = \left| \frac{1}{2}; T_3 = +\frac{1}{2} \right\rangle$   $m = 493$  (892) MeV  
 $|K^{(*)0}\rangle = \left| \frac{1}{2}; T_3 = -\frac{1}{2} \right\rangle$   $m = 497$  (896) MeV
- Baryons:  $|p\rangle = \left| \frac{1}{2}; T_3 = +\frac{1}{2} \right\rangle$   $m = 938.2$  MeV  
 $|n\rangle = \left| \frac{1}{2}; T_3 = -\frac{1}{2} \right\rangle$   $m = 939.5$  MeV



# Spectroscopy of Isospin = 1

- $2 \otimes 2 = 1 \oplus 3 \Rightarrow$  a singlet and a triplet.
- Pseudoscalar Mesons:

$$|\pi^+\rangle = |1;+1\rangle \quad m = 139 \text{ MeV}$$

$$|\pi^0\rangle = |1;0\rangle \quad m = 135 \text{ MeV}$$

$$|\pi^-\rangle = |1;-1\rangle \quad m = 139 \text{ MeV}$$

$$|\eta_0\rangle = |0;0\rangle$$

Same repeats for vector mesons  
 $\rho^+ \rho^0 \rho^-$  and  $\omega$   
... and so forth ...

# Scattering

$$\frac{\sigma(pp \rightarrow \pi^+ d)}{\sigma(np \rightarrow \pi^0 d)} = \frac{|\langle \pi^+ d | S | pp \rangle|^2}{|\langle \pi^0 d | S | np \rangle|^2} \cdot PhSpR = \frac{|\langle 1 \| S \| 1 \rangle|^2}{|\langle 1 \| S \| 1 \rangle + \langle 1 \| S \| 0 \rangle|^2} \cdot \frac{1}{2}$$

$$|pp\rangle = \left| \frac{1}{2}; + \frac{1}{2} \right\rangle \left| \frac{1}{2}; + \frac{1}{2} \right\rangle = |1;1\rangle_{NN}$$

$$|np\rangle = \left| \frac{1}{2}; - \frac{1}{2} \right\rangle \left| \frac{1}{2}; + \frac{1}{2} \right\rangle = \frac{1}{\sqrt{2}} (|1;0\rangle_{NN} + |0;0\rangle_{NN})$$

$$|\pi^+ d\rangle = |1;1\rangle_{\pi} |0;0\rangle_d = |1;1\rangle_{\pi d}$$

$$|\pi^0 d\rangle = |1;0\rangle_{\pi} |0;0\rangle_d = |1;0\rangle_{\pi d}$$

# Partial Decay Width

- $K^*(892)$  decays  $\sim 100\%$  to  $K\pi$
- The partial decay width is so well determined by isospin that the PDG doesn't even bother writing it down.

$$\frac{\Gamma(K^{*+} \rightarrow K^+ \pi^0)}{\Gamma(K^{*+} \rightarrow K^0 \pi^+)} =$$

$$\begin{pmatrix} K^{(*)+} = u \bar{s} \\ K^{(*)0} = d \bar{s} \end{pmatrix} = \begin{pmatrix} +\frac{1}{2} \\ -\frac{1}{2} \end{pmatrix}$$

$$|K^+ \pi^0\rangle = \left| \frac{1}{2}; +\frac{1}{2} \right\rangle_K |1; 0\rangle_\pi = \sqrt{\frac{2}{3}} \left| \frac{3}{2}; +\frac{1}{2} \right\rangle_{K\pi} - \sqrt{\frac{1}{3}} \left| \frac{1}{2}; +\frac{1}{2} \right\rangle_{K\pi}$$

$$|K^0 \pi^+\rangle = \left| \frac{1}{2}; -\frac{1}{2} \right\rangle_K |1; 1\rangle_\pi = \sqrt{\frac{1}{3}} \left| \frac{3}{2}; +\frac{1}{2} \right\rangle_{K\pi} + \sqrt{\frac{2}{3}} \left| \frac{1}{2}; +\frac{1}{2} \right\rangle_{K\pi}$$

# Clebsh-Gordon Reminder

$1 \times 1/2$		$3/2$				
		$+3/2$	$3/2$	$1/2$		
$+1$	$+1/2$	$1$	$+1/2$	$+1/2$		
		$+1$	$-1/2$	$1/3$	$2/3$	$3/2$ $1/2$
		$0$	$+1/2$	$2/3$	$-1/3$	$-1/2$ $-1/2$
			$0$	$-1/2$	$2/3$	$1/3$ $3/2$
			$-1$	$+1/2$	$1/3$	$-2/3$ $-3/2$
$2 \times 1$		$3$			$-1$	$-1/2$ $1$
		$+3$	$3$	$2$		

$$(1;0) + (1/2;+1/2) = \text{sqrt}(2/3) (3/2;1/2) - \text{sqrt}(1/3) (1/2;1/2)$$

$$(1;1) + (1/2;-1/2) = \text{sqrt}(1/3) (3/2;1/2) + \text{sqrt}(2/3) (1/2;1/2)$$

# Isospin for anti-quarks

- Want to make anti-quark doublet with:
  - charge conserved  $\rightarrow$  anti-d must have  $T_3 = +1/2$  because  $Q = T_3 + B$ :
    - $Q_u = 1/2 + 1/3 = +2/3$        $Q_d = -1/2 + 1/3 = -1/3$
    - $Q_{\text{anti-u}} = -1/2 - 1/3 = -2/3$        $Q_{\text{anti-d}} = 1/2 - 1/3 = +1/3$
  - baryon number conserved
  - Same transformation properties as quarks

$$\begin{pmatrix} u \\ d \end{pmatrix} \rightarrow e^{\frac{1}{2}i\theta_y\sigma_y} \begin{pmatrix} u \\ d \end{pmatrix} = \left[ \cos\frac{\theta_y}{2} + i\sin\frac{\theta_y}{2}\sigma_y \right] \begin{pmatrix} u \\ d \end{pmatrix} = \begin{pmatrix} \cos\frac{\theta_y}{2} & \sin\frac{\theta_y}{2} \\ -\sin\frac{\theta_y}{2} & \cos\frac{\theta_y}{2} \end{pmatrix} \begin{pmatrix} u \\ d \end{pmatrix}$$

If you simply bar and flip then you get the wrong sign  
In front of the “sin” terms.

$$\begin{pmatrix} u \\ d \end{pmatrix} \rightarrow e^{\frac{1}{2}i\theta_y\sigma_y} \begin{pmatrix} u \\ d \end{pmatrix} = \left[ \cos\frac{\theta_y}{2} + i\sin\frac{\theta_y}{2}\sigma_y \right] \begin{pmatrix} u \\ d \end{pmatrix} = \begin{pmatrix} \cos\frac{\theta_y}{2} & \sin\frac{\theta_y}{2} \\ -\sin\frac{\theta_y}{2} & \cos\frac{\theta_y}{2} \end{pmatrix} \begin{pmatrix} u \\ d \end{pmatrix}$$

$$\begin{pmatrix} -\bar{d} \\ \bar{u} \end{pmatrix} \rightarrow e^{\frac{1}{2}i\theta_y\sigma_y} \begin{pmatrix} -\bar{d} \\ \bar{u} \end{pmatrix} = \left[ \cos\frac{\theta_y}{2} + i\sin\frac{\theta_y}{2}\sigma_y \right] \begin{pmatrix} -\bar{d} \\ \bar{u} \end{pmatrix} = \begin{pmatrix} \cos\frac{\theta_y}{2} & \sin\frac{\theta_y}{2} \\ -\sin\frac{\theta_y}{2} & \cos\frac{\theta_y}{2} \end{pmatrix} \begin{pmatrix} -\bar{d} \\ \bar{u} \end{pmatrix}$$

Note:

The point here is that you can want to be able to derive the rotated doublet either via **rotation to the quark doublet followed by Charge conjugation and flip**, or by starting with the **anti-q doublet and using the same rotation as for q doublet**.

# Quantum Numbers for Mesons

- $J^{PC}$

$J$  = total angular momentum =  $L+S$

$P$  = parity

$C$  = charge conjugation

- Only neutral particles can be eigenstates of  $C$ , of course.

# Generalized Pauli Principle

- The fermion-antifermion wave function must be odd under interchange of all coordinates (space, spin, charge).
  - Space interchange  $\rightarrow (-1)^L$
  - Spin interchange  $\rightarrow (-1)^{S+1}$
  - Charge interchange  $\rightarrow$  depends on eigenvalue of C

- **Bottom line:**

$$(-1)^{L+S+1} C = -1 \quad \Rightarrow \quad C = (-1)^{L+S}; \quad P = (-1)^{L+1}$$

$$\pi^0 : C = (-1)^{0+0} = 1; \quad P = (-1)^{0+1} = -1 \Rightarrow \text{pseudoscalar meson}$$

$$\rho^0 : C = (-1)^{0+1} = -1; \quad P = (-1)^{0+1} = -1 \Rightarrow \text{vector meson}$$

$$b : C = (-1)^{1+0} = -1; \quad P = (-1)^{1+1} = +1 \Rightarrow \text{axial vector meson}$$





# SU(3) Next Lecture



